



UP-3613  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/649,243	
	Filing Date	August 28, 2000	
	First Named Inventor	Lumpkin et al.	
	Group Art Unit	3613 - Cnfrm. #6742	
	Examiner Name	King, B.	
Total Number of Pages in This Submission	4	Attorney Docket Number	AVID.13-1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Cited References: (6-US Patents)
Remarks		

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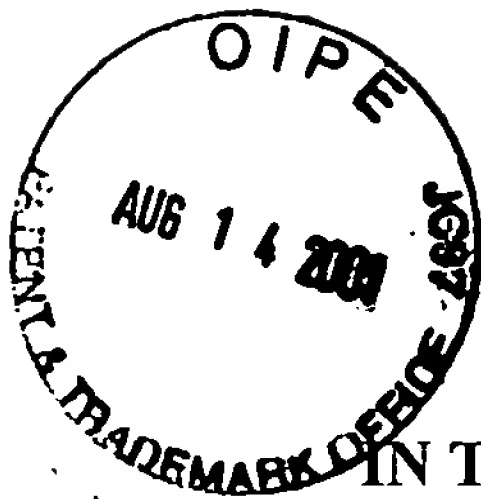
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Swanson & Bratschun, L.L.C. Thomas D. Bratschun, #32,966
Signature	
Date	8/9/01

CERTIFICATE OF MAILING			
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Attorney Docket: AVID.13-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	LUMPKIN	)	
SERIAL NO.:	09/649,243	)	EXAMINER: KING, B.
FILED:	AUGUST 28, 2000	)	ART UNIT: 3613
FOR:	PAD WEAR COMPENSATOR FOR A DISC BRAKE CALIPER	)	CNFRM NO.: 6742

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

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INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above-identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being submitted under 37 CFR § 1.97(c). This Statement is filed after three months from the filing date of the national application, after three months from the date of entry into national stage under 37 CFR § 1.491, after the mailing of a first Office Action on the merits, or after the mailing of a first Office Action after the filing of a requested for continued examination under 37 CFR § 1.114, but before the mailing date of any of:

- (a) a final action under 37 CFR § 1.113;
- (b) a notice of allowance under 37 CFR § 1.311; or
- (c) an action that otherwise closes prosecution in the application.

Certification under 37 CFR § 1.97(e)(1)

The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent

37 CFR 1.8

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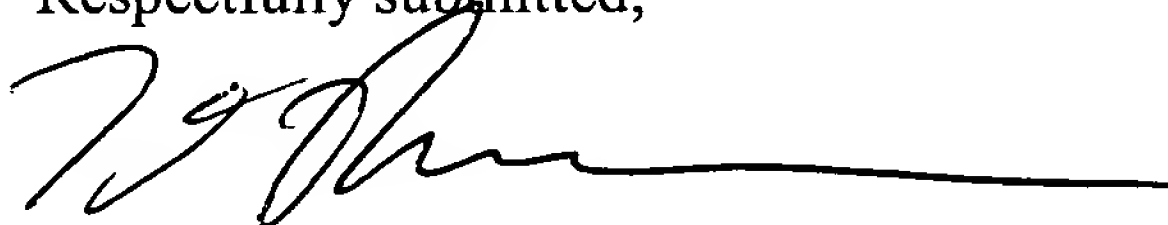
Signature: 

Name: Cindy Bennett

office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees associated with this document to Deposit Account No. 19-5117.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'T. Bratschun', followed by a long horizontal line.

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